

# *AML/CFT Compliance in financial services industry*



## *Support of compliance roles in execution of AML/CFT compliance programs*

This offer concerns services supporting the AML/CFT compliance function of the financial sector in the execution of its duties in particular resulting from the AMLD5 (Directive (EU) 2018/843 of the European Parliament and of the Council of 30 May 2018 amending Directive (EU) 2015/849 on the prevention of the use of the financial system for the purposes of money laundering or terrorist financing, and amending Directives 2009/138/EC and 2013/36/EU)

## WORKFLOW



# Overview of available services



## Training (examples)

- *Compliance Programme and Three Lines of Defence*
- *AML/CFT risk management in the context of implementation of AMLD5 and most recent guidelines of FATF, European Banking Authority, US Treasury, FinCEN, Polish FIU, and many more*
- *Incident response in case of detected non-compliance*
- *Criminal and administrative corporate and management's liability in the context of the AML/CFT non-compliance*
- *Other tailored training on Client's demand*



## Subscription based services

- *Time commitment based on subscription model is available for Clients interested in daily basis counsel and advice in respect to AML/CFT compliance matters*
- *The advice covers not only AMLD5 compliance but also FATF 40 +9 Recommendations, the US Bank Secrecy Act and other AML/CFT Standards*



## Project based commitment

- *Time and material-based commitment in respect to any compliance subject as defined in alignment with the Client*
- *Sample prior project experience includes, but is not limited, to:*
  - *Implementation of AML/CFT programmes, including Risk Based Approach measures in banking sector pursuant to enforcement of AMLD3/AMLD4*
  - *Investigations in breaches of the OFAC Sanctions*
  - *AML/CFT Compliance reviews, including Gap Analyses between as-is and as-it-should-be*
  - *Support in design of AML/CFT risk assessment methodologies and setting risk appetite levels*
  - *Support in selection of AML/CFT technology*



## Contact details:

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